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Statement of intent

SWRAC aims to resolve complaints at the earliest possible stage and, where possible, informally, and is dedicated to continuing to provide the highest quality of education possible throughout the procedure.

This policy has been created to handle complaints relating to any aspects of the provision of facilities or services against:

- Any member of staff.
- SWRAC.
- Advisory board Member.

It is designed to ensure that the SWRAC's complaints procedure is straightforward, impartial, non-adversarial, allows a full and fair investigation, respects confidentiality, and delivers an effective response and appropriate redress.

This policy outlines the procedure that the complainant and SWRAC will follow. Once a complaint has been made, it can be resolved or withdrawn at any stage.

The Managing Director and/or chair of the advisory board will delegate an appropriate person to be the first point of contact during the complaint's procedure.

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1. Legal framework

This policy has due regard to all relevant legislation and guidance including, but not limited to, the following:

- Freedom of Information Act 2000
- Education Act 2002
- Equality Act 2010
- The Education (Independent School Standards) Regulations 2014
- Immigration Act 2016
- UK General Data Protection Regulation (UK GDPR)
- Data Protection Act 2018
- HM Government (2016) 'Code of Practice on the English language requirement for public sector workers'

This policy operates in conjunction with the following policies:

- Child Protection and Vulnerable Safeguarding Policy
- Behaviour Policy
- Whistleblowing Policy
- Grievance Policy
- Data Protection Policy

2. Definitions

For the purpose of this policy, a “**complaint**” is defined as ‘an expression of dissatisfaction’ towards the actions taken or a perceived lack of action. Complaints can be resolved formally or informally.

A “**concern**” is defined as ‘an expression of worry or doubt’ where reassurance is required. For the purpose of this policy, concerns will be classed and addressed as complaints.

Any complaint or concern will be taken seriously, whether raised formally or informally, and the appropriate procedures will be implemented.

The definition of “**unreasonable complaints**” is outlined in the ‘Managing unreasonable complaints’ section of this policy.

For the purpose of this policy, “**duplicate complaints**” are identical complaints received from a complainant’s spouse, partner or child. These complaints will not be addressed again and the individual making the second complaint will be informed that the complaint has been dealt with on a local level. If the individual is dissatisfied with the result, they can appeal to the ESFA, as outlined in ‘[The role of the ESFA](#)’ subsection of this policy. Any new details provided by a complainant’s spouse, partner or child, however, will be investigated and managed in line with the complaint’s procedure.

For the purpose of this policy, “**complaints campaigns**” are where SWRAC receives large volumes of complaints that are all based on the same subject.

For the purposes of this policy, having “**independence**” from SWRAC is defined as having no association with SWRAC, including through being a member, board member or employee, and having no clear connection with SWRAC, including through being an employee or solicitor.

3. Making a complaint

Any person, including a member of the public, will be able to make a complaint about the provision of facilities or services that SWRAC provides. Complaints may also be made by a third party on behalf of a complainant, contingent on appropriate consent having been obtained to do so. All complaints made will be handled via the procedures outlined in this policy.

Any parent and/or carer of a student in SWRAC will be able to make a complaint about the provision of facilities or services that SWRAC provides. Any complaints made by other parties may be handled via different procedures to those outlined in this policy. This includes complaints made by a third party on behalf of a parent or carer of a student in an academy.

SWRAC will not normally investigate anonymous complaints.

Individuals making complaints about issues relating to separate statutory procedures will be referred as follows:

- **Child and vulnerable adults’ protection** – referred to safeguarding procedures outlined in the Child Protection and Vulnerable Adults Safeguarding Policy.
- **Whistleblowing** – referred to the internal whistleblowing procedures outlined in the Whistleblowing Policy.
- **Staff grievances** – referred to the internal grievance procedures outlined in the Grievance Policy.
- **Staff conduct** – referred to the internal disciplinary procedures.

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All other complaints will be directed towards the procedures laid out in this policy.

Complainants may make complaints in person, in writing or by telephone. Complaints should be made using the appropriate channels of communication.

Complaints are expected to be made as soon as possible after an incident arises to amend the issue in an appropriate timescale. SWRAC upholds a three-month time limit in which a complaint can be lodged regarding an incident. Complaints made outside this time limit will be considered in exceptional circumstances. In the case of any timescales changing, all parties involved will be informed of the changes in a timely manner.

A complaint can progress to the next stage of the procedure even if it is not viewed as “justified”. All complainants will be given the opportunity to fully complete the complaints procedure.

Complaints about staff or advisory board members

Complaints against staff will:

- Be dealt with by the Managing Director.
- Follow the complaints procedure, including a panel hearing where applicable.

Complaints against the Managing Director will:

- Be dealt with by the chair of the advisory board.
- Follow the complaints procedure, including a panel hearing where applicable.

Complaints against any advisory board members will:

- Be made in writing to the Managing Director, who will arrange for them to be heard.
- Be dealt with by the chair of the advisory board.

4. Roles and responsibilities

The complainant will be responsible for:

- Cooperating with SWRAC in seeking a solution to the complaint.
- Expressing the complaint and their concerns in full at the earliest opportunity.
- Promptly responding to any requests for information and meetings.
- Asking for assistance as needed.
- Treating anyone involved in the complaint with respect.

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The role of the investigator will differ depending on the nature of the complaint and who it is directed at. This means that:

- For complaints against staff, the investigator will be the Managing Director.
- For complaints against the Managing Director, the investigator will be the chair of the advisory board.
-

The investigator of the complaint will be responsible for:

- Providing a sensitive and thorough interviewing process of the complainant to establish what has happened and who is involved.
- Considering all records, evidence and relevant information provided.
- Interviewing all parties that are involved in the complaint, including staff and students.
- Analysing all information in a comprehensive and fair manner.
- Liaising with the complainant and clarifying an appropriate resolution to the problem.
- Identifying and recommending solutions and courses of actions to take.
- Being mindful of timescales and ensuring all parties involved are aware of these timescales.
- Responding to the complainant in a clear and understandable manner.

Where complaints are escalated to a hearing, all complaints members will be aware that:

- The review hearing is independent and impartial.
- No individual with prior involvement in the complaint, or the circumstances surrounding it, is permitted to sit on the meeting.
- The aim of the meeting is to achieve a reasonable resolution and, ultimately, attain reconciliation between the parties involved.
- Reconciliation between SWRAC and complainant is not always achievable, and that it may only be possible to establish facts and make recommendations to reassure the complainant that their case has been taken seriously.
- The meeting can:
 - Dismiss or uphold the complaint, in whole or in part.
 - Decide on appropriate action to be taken.
 - Recommend changes that can make to prevent reoccurrence of the problem.
- Complainants may feel nervous or inhibited in a formal setting and, therefore, the proceedings should be as welcoming as possible.

- When a student is present at the hearing, extra care needs to be taken to ensure that the students does not feel intimidated, as well as ensuring the student's view is represented equally.

The meeting chair will:

- Ensure that minutes of the hearings are taken on every occasion.
- Explain the remit of the meeting to the complainant.
- Ensure that all issues are addressed and that outcomes are reached based on facts and evidence.
- Help to put at ease and console individuals involved who are not used to speaking at such hearings, particularly any students involved.
- Conduct the hearing in a manner that ensures everyone is treated with respect and courtesy.
- Ensure that the room's layout and setting is non-adversarial, yet still sets the appropriate tone.
- Confirm that no member of the meeting has previously been involved in the earlier stages of the procedure or has an external interest in the outcome of the proceedings.
- Give both the complainant and SWRAC the opportunity to state their case and seek clarity without undue interruption.
- Provide copies of any written material or evidence to everyone in attendance of the meeting, ensuring that everyone has seen the necessary material.
- Organise a short adjournment of the meeting if required.
- Help to provide the support necessary where the complainant is a student.

The role of the ESFA

If a complainant remains dissatisfied once the complaint procedure has been completed, they have the right to refer their complaint to the Secretary of State. If a complainant wishes to escalate a complaint, SWRAC will refer them to the agency.

The ESFA will not overturn SWRAC decision about a complaint or re-investigate the original complaint. The ESFA will only intervene following a complaint if it believes SWRAC has:

- Breached a clause in its funding agreement.
- Failed to comply with education law or acted unreasonably when exercising related education functions.

When making a final decision about a complaint, SWRAC reserves the right to seek advice from the ESFA on whether they are acting reasonably and lawfully; however, they will not be able to advise on how to resolve the complaint.

5. Complaints procedure

SWRAC will ensure that the complaints procedure is:

- Easily accessible and publicised on its website.
- Simple to understand and put into practice.
- Impartial and fair to all parties involved.
- Respectful of confidentiality duties.
- Continuously under improvement.
- Fairly investigated, by an independent person where necessary.
- Used to address all issues to provide appropriate and effective responses where necessary.
- **The process**
 - **Informal** – which will usually come in the form of a meeting between a representative of SWRAC and the complainant.
 - **Formal** – where the complaint is put in writing to SWRAC.
 - **Meeting** – where the meeting includes at least three people who were not directly involved in the matters detailed in the complaint.
 - **Second meeting hearing** – where another meeting is set up with different panel members to re-investigate the complaint.

At each stage, complainants will be informed of their options for escalation if they are unsatisfied with the outcome of their complaint. The appropriate person will communicate the details of the next stage of the process when delivering the outcome of the current stage, where applicable.

To prevent later challenge or disagreement over what was said in any in-person meetings or telephone conversations at any stage of the procedure, brief notes will be kept, and a copy of any written response will be added to the record of the complaint. Notes and paper copies of any complaints and/or responses are kept securely, where the complaint is against SWRAC as a whole or a member of the board.

Stage one – informal complaint

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An informal complaint may be made in person, by telephone or in writing; however, it is preferred that initial, informal complaints are made via an in-person meeting.

Where a complaint has been made about a member of staff, the complainant can discuss the concern with the Managing Director, or a person delegated to hear the complaint on their behalf, to seek support. If the concern is about the Managing Director, the chair of the advisory board should be informed and will need to handle the complaint.

Where a complaint is made initially to a board member, the complainant will be referred to the appropriate person. No member of staff or board member will act alone on a complaint outside of the procedure; if they do, they cannot be involved if the complaint is subject to a hearing at a later stage of the procedure.

Within 10 days of notification of the complaint, the complainant and the relevant member of staff should discuss the issue in a respectful and informal manner to seek a mutual resolution.

At this initial communication stage of the complaint, the complainant will be asked for their input as to what they believe may resolve the issue about which the complaint has been made to avoid further escalation where possible.

In line with ESFA guidance, complainants should note that any acknowledgement by SWRAC that it could have handled the situation better is not an admission of unlawful or negligent action.

If an appropriate resolution cannot be sought at this informal level, or if the complainant is dissatisfied with the outcome following the initial discussions, the person managing the response to the complaint will inform the complainant about the next level of the procedure.

Stage two – formal complaint

Formal complaints must be made in writing.

Stage two of the process will be completed within 15 days. Where the situation is recognised as complex, and it is deemed to be unable to be resolved within this timescale, the person managing the complaint will contact the complainant to inform them of the revised target date via a written notification.

An appointment with the appropriate person for managing the complaint should be made, as soon as reasonably practical, to avoid any possible worsening of the situation.

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If the complaint is against the Managing Director, the complainant will initially need to write, in confidence, to the chair of the advisory board. The chair will seek to resolve the issue informally, e.g. by arranging a meeting with the complainant within 15 days, before moving directly to stage three of the procedure.

Where the appropriate person has made reasonable attempts to accommodate the complainant with dates for a complaint meeting and they refuse or are unable to attend, the meeting will be convened in their absence and a conclusion will be reached in the interests of drawing the complaint to a close.

In terms of a complaint being made against a member of staff, the Managing Director will discuss the issue with the staff member in question. Where necessary, the Managing Director will conduct interviews with any relevant parties, including witnesses and students, and take statements from those involved. All discussions shall be recorded by the Managing Director, and findings and resolutions will be communicated to the complainant either verbally or in writing.

Once all facts are established, the person handling the complaint shall contact the complainant in writing with an explanation of the decision. The complainant will be advised of any escalation options (e.g. escalation to stage three) and will be provided with details of this process.

The complainant will also be provided with copies of minutes, subject to any necessary redactions under the Data Protection Act 2018 and the UK GDPR. Any further action the trust plans to take to resolve the issue will be explained to the complainant in writing.

If the complainant is not satisfied with the outcome suggested, the procedure will progress to stage three. A request to escalate to stage three will be made to the Managing Director within 10 days of the end of stage two, i.e. communication of an outcome.

Stage three – panel hearing

Where the complaint progresses to stage three, a meeting will be constituted to hear the complaint, consisting of at least three individuals who were not directly involved in the matters detailed in the complaint.

SWRAC will record the date the escalation request was received, acknowledge receipt of the complaint, and inform the complainant of the scheduled time and date of the meeting hearing in writing. The meeting will be convened within 15 days of the receipt of the escalation request where possible. Where this is not possible, SWRAC will provide an anticipated date and ensure the complainant is kept up to date.

5 days' notice will be given to all parties attending the hearing, including the complainant.

At the meeting, all participants will be given the opportunity to put their case across and discuss any issues. The meeting will allow for:

- The complainant to be present and accompanied at the hearing if they wish.
- The complainant to explain their complaint and the individual handling the complaint to explain the reasons for their decision.
- The complainant to question the individual handling the complaint, and vice versa, about the complaint.
- Any evidence, including witnesses who have been prior approved by the chair of the meeting, to be questioned.
- Members of the meeting to question both the complainant and the individual about whom the complaint was made.
- Final statements to be made by both parties involved.

Neither the complainant nor SWRAC will bring legal representation to this hearing, unless in exceptional circumstances, where this will be agreed beforehand. A member of staff who may be a witness to the complaint can bring a union representative or legal representative if desired; this will be agreed before the hearing.

The purpose of the hearing will be reconciliation and ensuring that things that may have gone wrong are corrected.

The complainant will receive a written response explaining the meeting's findings and recommendations within 15 days. This letter will also explain whether there are any further rights of appeal and to whom they need to be addressed.

Where relevant, the person complained about will receive a summary of the meeting with findings and recommendations. They will also receive a copy of the minutes, subject to any necessary redactions under the Data Protection Act 2018 and the UK GDPR.

Stage four – Second meeting

Where the complainant is unsatisfied with the outcome of the meeting, the option for a second hearing will be offered to resolve the complaint. The second hearing will be conducted in the same manner as the first hearing with a different set of members. If, following the second meeting, the outcome of the appeal is the same, SWR will be satisfied that the complaint has been fully reviewed.

The complainant will receive a written response explaining the second meetings findings and recommendations within 15 days.

Complaints to the ESFA

If a complainant has exhausted SWRAC complaints procedure, they will be advised that they can submit a complaint to the ESFA via its webpage or by writing to:

Complaints Team
Education and Skills Funding Agency
Cheylesmore House
Coventry
Quinton Road
Coventry
CV1 2WT

Resolving complaints

At each stage of the complaint's procedure, SWRAC is committed to resolving the complaint. Where appropriate, SWRAC will acknowledge that the complaint is upheld in whole or in part, and may offer one of the following:

- An explanation
- An admission that the situation could have been handled better
- An assurance that SWRAC will try and ensure the incident will not occur again
- An outline of the steps that have been or will be taken to help ensure that it will not happen again and an indication of the timescales within which changes will be made
- An undertaking to review SWRAC policies in light of the complaint
- An apology

Withdrawal of a complaint

Where a complainant wishes to withdraw their complaint, SWRAC will ask them to confirm this in writing. Despite the complaint having been withdrawn, SWRAC will still take the complainant's voice seriously and attempt to avoid causing similar distress to others in the future. SWRAC will not under any circumstances ask, or pressure an individual, to withdraw a complaint.

Record keeping

A written record will be kept of all complaints that are made, regardless of the stage at which they are resolved, including any action taken by SWRAC as a result of those complaints whether they are upheld or not.

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All correspondence, statements and records relating to individual complaints will be kept confidential except where the Secretary of State or an inspectorate requests access to them.

SWRAC is a data controller in our own right and will decide for ourselves how long to keep records, unless statutory regulations apply, e.g. attendance records must be kept for 3 years. Personal data will only be kept for as long as necessary.

6. Interviewing witnesses

When interviewing students to gather information regarding a complaint, the interview should be conducted in the presence of another member of staff or, in the case of serious complaints, e.g. where the possibility of criminal investigation exists, in the presence of their parents. All students interviewed will be made fully aware of what the interview concerns and their right to have someone with them.

SWRAC will ensure that the conduction of interviews does not prejudice an investigation by the LA designated officer (LADO) or police.

SWRAC understands the importance of ensuring a friendly and relaxed area which is free from intimidation. Staff are allowed a colleague to support them at their interview. The colleague must not be anyone likely to be interviewed themselves, including their line manager. The interviewer will not express opinions in words or attitude, so as to not influence the interviewee. The interviewee will sign a copy of the transcription of the interview.

7. Recording a complaint

A written record shall be kept of any complaint made, whether made via phone, in person or in writing, detailing:

- The main issues raised, the findings and any recommendations.
- Whether the complaint was resolved following an informal route, formal route or panel hearing.
- Actions taken by SWRAC as a result of the complaint (regardless of whether the complaint was upheld).

SWRAC holds the right to use recording devices, where appropriate, to ensure all parties involved are able to review the discussions at a later date. Where there are communication difficulties or disabilities, SWRAC may provide recording devices to ensure the complainant is able to access and review the discussions at a later point.

Recording devices will not be used without the prior consent of all parties.

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Where SWRAC allows complainants to record meetings, the following will be considered:

- How any decision to allow recordings may affect any third parties called to act as witnesses
- The impact and consequences on the individuals involved in the complaint in the event that recordings are lost or leaked

SWRAC will not accept as evidence any recordings that were obtained covertly and without the informed consent of all parties being recorded.

Details of any complaint made shall not be shared with the entire advisory board. The exception to this is when a complaint is made against the whole board, and they need to be aware of the allegations made against them to respond to any independent investigation.

Complainants have a right to access copies of these records under the UK GDPR and the Freedom of Information Act 2000. SWRAC will hold all records of complaints centrally. Correspondence, statements and records relating to individual complaints are kept confidential except where the Secretary of State or a body conducting an inspection request to access them.

8. Exceptional circumstances

The ESFA expects complainants to have completed SWRAC complaints procedure before directing a complaint to them. The exceptions to this include when:

- Students are at risk of harm.
- Students are missing education.
- A complainant is being prevented from having their complaint progressed through SWRAC complaints procedure.
- The ESFA has evidence that SWRAC is proposing to act or is acting unlawfully or unreasonably.

If a social services authority decides to investigate a situation, SWRAC may postpone the complaints procedure.

9. Managing unreasonable complaints

SWRAC is committed to dealing with all complaints fairly and impartially, and to providing a high-quality service to those who complain. SWRAC will not normally limit the contact complainants have with SWRAC itself; however, it does not expect staff to tolerate unacceptable behaviour and will take action to protect staff from that behaviour, including that which is abusive, offensive or threatening.

For the purposes of this policy, “**unreasonable complaints**” include:

- Vexatious complaints, which:
 - Are obsessive, persistent, harassing, prolific, or repetitious.
 - Insist upon pursuing unmeritorious complaints and/or unrealistic outcomes beyond all reason.
 - Insist upon pursuing meritorious complaints in an unreasonable manner.
 - Are designed to cause disruption or annoyance.
 - Demand for redress which lacks any serious purpose or value.
- Serial or persistent complaints, which:
 - Are duplicated, sent by the same complainant once the initial complaint has been closed.
 - Are new complaints that are submitted additionally, as part of an existing open complaint, by the same complainant.

A complaint may also be regarded as unreasonable when the complainant:

- Refuses to articulate their complaint or specify the grounds of a complaint or the outcomes sought by raising the complaint, despite offers of assistance.
- Refuses to cooperate with the complaints investigation process while still wishing their complaint to be resolved.
- Refuses to accept that certain issues are not within the scope of a complaint’s procedure.
- Insists on the complaint being dealt with in ways which are incompatible with the adopted complaints procedure or with good practice.
- Introduces trivial or irrelevant information which they expect to be taken into account and commented on or raises large numbers of detailed but unimportant questions and insists they are fully answered, often immediately and to their own timescales.
- Makes unjustified complaints about staff who are trying to deal with the issues and seeks to have them replaced.
- Changes the basis of the complaint as the investigation proceeds.
- Repeatedly makes the same complaint despite previous investigations or responses concluding that the complaint is groundless or has been addressed.
- Refuses to accept the findings of the investigation into that complaint where the trust’s complaints procedure has been fully and properly implemented and completed, including referral to the ESFA.
- Seeks an unrealistic outcome.

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- Makes excessive demands on college time by frequent, lengthy, complicated and stressful contact with staff regarding the complaint in person, in writing, by email and by telephone while the complaint is being dealt with.

A complaint may also be considered unreasonable if the complainant:

- Acts maliciously or aggressively.
- Uses threats, intimidation or violence.
- Uses abusive, offensive or discriminatory language.
- Knows the complaint to be false.
- Uses falsified information.
- Publishes unacceptable information in media such as social media websites and newspapers.

The above applies regardless of the method the complaint is made, e.g. face-to-face, by telephone, in writing or electronically.

Complainants should limit the number of communications with SWRAC while a complaint is being progressed. It is not helpful if repeated correspondence is sent, either by letter, phone, email or text, as it could delay the outcome being reached.

Whenever possible, the member of staff or board member leading the response to a complaint will discuss any concerns with the complainant informally before applying an 'unreasonable' marking.

Serial or persistent complaints will only be marked as 'serial' once the complainant has completed the complaints procedure. It is the complaint that will be marked as 'serial', meaning the complainant can complain about a separate issue if necessary.

If the behaviour continues, the individual handling the complaint will write to the complainant explaining that their behaviour is unreasonable and asking them to change it. For complainants who excessively contact SWRAC causing a significant level of disruption, SWRAC may specify methods of communication and limit the number of contacts in a communication plan. This will usually be reviewed after six months.

A decision to stop responding will only be considered in circumstances where the following statements are true:

- Every reasonable step has been taken to address the complainant's concerns
- The complainant has been given a clear statement of the trust's position and their options

- The complainant contacts SWRAC repeatedly, making substantially the same points each time

If the above criteria are met, in making a decision to stop responding, SWRAC will also consider if the complainant is often abusive or aggressive in their communication, makes insulting personal comments about or threats towards staff, or if SWRAC believes their intent is to disrupt or inconvenience SWRAC.

SWRAC will not stop responding to a complainant on the basis that they are difficult to deal with or they ask complex questions.

In response to any serious incident of aggression or violence, the concerns and actions taken will be put in writing immediately and the police informed. This may include banning an individual from the premises.

10. Complaints campaigns

Where SWRAC becomes the subject of a complaints campaign from complainants who are not connected with SWRAC, a standard, single response will be published on our website.

If SWRAC receives a large number of complaints about the same subject from complainants who are connected to SWRAC, e.g. parents, each complainant will receive an individual response.

If complainants remain dissatisfied with SWRAC response, they will be directed to the ESFA.

11. Barring from the premises

SWRAC premises are private property and, therefore, any individual may be barred from entering the premises.

If an individual's behaviour is cause for concern, the Managing Director will ask the individual to leave the premises.

The Managing Director will notify the parties involved in writing, explaining that their implied licence for access to the premises has been temporarily revoked and why, subject to any representations that the individual may wish to make. The individual involved will be given the opportunity to formally express their views regarding the decision to bar them.

This decision to bar will be reviewed by the chair of the advisory board where escalated, taking into account any discussions following the incident. If the decision is made to

continue the bar, the individual will be contacted in writing, informing them of how long the bar will be in place, and when the decision will be reviewed.

Anyone wishing to make a complaint regarding a barring order can do so in writing, including via email, to the managing Director.

12. Standard of fluency complaints

As members of a public authority, all staff are subject to the fluency duty imposed by the Immigration Act 2016, which requires staff members to have an appropriate level of fluency in English in order to teach students.

SWRAC is free to determine the level of spoken communication necessary in order for staff members to develop effective performance, but it will be matched to the demands of the role in question.

SWRAC will be satisfied that an individual has the necessary level of fluency appropriate for the role they will be undertaking, whether this is an existing or potential new member of staff.

If a member of the college community feels that a staff member has insufficient proficiency in spoken English for the performance of their role, they are required to follow the 'Complaints procedure' outlined in this policy.

For the purpose of this policy, a **"legitimate complaint"** is one which is about the standard of spoken English of a member of staff; complaints regarding an individual's accent, dialect, manner or tone of communication are not considered legitimate complaints. All legitimate complaints regarding the fluency duty will be handled in line with the processes detailed in this policy.

In addition to the processes outlined in this policy, SWRAC will assess the merits of a legitimate complaint against the necessary standard of spoken English fluency required for the role in question.

To assess the merits, SWRAC will undertake an objective assessment against clear criteria set out in the role specification or, against the level of fluency descriptors relevant to the role in question.

If the complaint is upheld, SWRAC will consider what action is necessary to meet the fluency duty. This may include:

- Specific training.
- Specific re-training.

- Assessment.
- Redeployment.
- Dismissal.

Appropriate support will be provided to staff to ensure that they are protected from vexatious complaints and are not subjected to unnecessary fluency testing.

Records of complaints regarding fluency will be kept in accordance with the processes outlined in the 'Recording a complaint' section of this policy.

13. Transferring data

When a student changes provision, the student's educational record will be transferred to the new provision and no copies will be kept.

SWRAC will hold records of complaints separate to students records while a complaint is ongoing, so that access to these records can be maintained.

Information that SWRAC retains relating to a complaint will be stored securely.

Availability

A copy of this policy will be made available on request. It will also be published on the SWRAC website, as recommended by the ESFA.

14. Monitoring and review

The complaints procedure will be reviewed annually, taking into account any legislative changes and the latest guidance issued by the DfE or ESFA.

Information gathered through reviewing the complaints procedure will be used to continuously improve and develop the process. Any changes to this policy will be communicated to all relevant stakeholders.